

AMENDMENT TO THE RULES COMMITTEE PRINT

119–15

OFFERED BY M .

Add at the end the following:

1 **TITLE IV—ACCOUNTABILITY**
2 **AND TRANSPARENCY IN FOR-**
3 **IGN TRANSACTIONS**

4 **SEC. 401. CUSTOMER IDENTIFICATION INFORMATION IN**
5 **TRANSACTIONS WITH FOREIGN OMNIBUS AC-**
6 **COUNTS.**

7 The Securities and Exchange Commission shall issue
8 rules to require each broker or dealer, when transacting
9 with an omnibus account of a foreign broker or dealer,
10 to obtain underlying customer identification information.

